


022704

17157 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 05213-3001 (43170-295490)

Inventors ROBERT J. D'AMATO and M. JUDAH FOLKMAN

Title ESTROGENIC COMPOUNDS AS ANTI-MITOTIC AGENTS

Express Mail Label No. EV334681805US

19270 U.S. PTO
10789471

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS

TO:

Commissioner for Patents
Mailstop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 18)
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 3)
5. Oath or Declaration (Total Pages 2)
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment:
a. ☐ Assignment Papers (cover sheet &
document(s))
b. ☐ Assignment is of record in parent
application No.
10. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of
Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No: 10/077,142/February 15, 2002

Prior application information: Examiner BadioGroup / Art Unit: 1616

Recite complete dependency back to first parent application: This application is a continuation-in-part of U.S. Patent Application Serial No. 10/077,142, filed February 15, 2002; which is a continuation of U.S. Patent Application Serial No. 09/243,158 filed February 2, 1999, which is a divisional of U.S. Application Serial No. 08/838,699 filed April 25, 1997, now U.S. Patent No. 5,892,069, which is a divisional of U.S. Application Serial No. 08/571,265 filed December 12, 1995, now U.S. Patent No. 5,661,143, which is a continuation of Application No. 08/102,767, filed August 6, 1993, now U.S. Patent No. 5,504,074.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☒ Customer Number

23370

Name (Print/Type)	Robert E. Richards	Registration No. (Attorney/Agent)	29,105
Signature		Date	February 27, 2004

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FEE TRANSMITTAL

Attorney Docket No. 05213-3001 (43170-295490)

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **ROBERT J. D'AMATO and M. JUDAH FOLKMAN**
Filing Date: **February 24, 2004**
Title: **ESTROGENIC COMPOUNDS AS ANTI-MITOTIC AGENTS**

The filing fee is calculated as shown below:

1. FILING FEE:

		SMALL ENTITY		LARGE ENTITY	
FOR:		FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE		\$385		\$770	770
<input type="checkbox"/> DESIGN FILING FEE		\$170		\$340	
<input type="checkbox"/> PLANT FILING FEE		\$265		\$530	
<input type="checkbox"/> REISSUE FILING FEE		\$385		\$770	
<input type="checkbox"/> PROVISIONAL FILING FEE		\$80		\$160	
SUBTOTAL (1)			\$		\$770

2. CLAIMS:

				SMALL ENTITY		LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL CLAIMS	7 - 20 =	0	x 9 =			x 18 =	0
INDEP. CLAIMS	1 - 3 =	0	x 43 =			x 86 =	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =			+290 =	
SUBTOTAL (2)					\$		\$0

3. ADDITIONAL FEES:

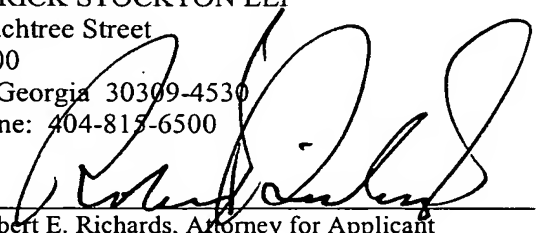
		SMALL ENTITY		LARGE ENTITY	
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<input type="checkbox"/> LATE FILING, FEE OR OATH		\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION		\$130		\$130	
<input type="checkbox"/> OTHER					
SUBTOTAL (3)			\$		\$0

TOTAL FILING FEES: \$770

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☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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Date: 2-27-04